# **Families of variational principles for inverse**  and H<sub>A</sub> hybrid problems of an S<sub>2</sub> stream sheet **in mixed flow turbomachines**

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This paper deals with the semi-inverse,  $H_A$ , and inverse problems of compressible flow along an S<sub>2</sub> stream sheet in mixed flow turbomachines, for which families of variational **principles (VPs) are** derived. In the VPs for the semi-inverse problem, the distributed gas injection and/or suction along the hub walls and casing walls are accounted for. VP families for axial and radial flow turbomachines can be obtained directly from this paper as special **cases.** Great attention is paid to taking full advantage of natural boundary conditions and "artificial interfaces" in order to offer a perfect theoretical basis for the finite element method or other direct variational methods in computational aerodynamics of turbomachinery. This theory also provides broader and versatile ways for blading design.

**Keywords:** turbomachine aerodynamics; variational methods in fluids; finite element method in fluids; blading design

# **Introduction**

The quasi-three-dimensional (quasi-3D) flow theory of turbomachinery based on Wu's  $S_1$  (blade-to-blade) and  $S_2$  (hub-to $tip)$  stream surface model<sup>1</sup> has found worldwide recognition and acception for practical turbomachine analysis and design. Before 1974, all numerical solutions were carried out by the streamline curvature method or by the finite difference method. 6 In the 1970s the remarkable success of the finite element method (FEM) in applied mechanics and engineering has renewed the interest of scientists and engineers in the search for variational principles (VPs) in fluid mechanics,  $17$  in general, and in turbomachine aerothermodynamics, in particular,  $2-5,7,11,12,15$ because VPs form a most reliable and useful theoretical foundation of FEM. The VP-based FEM has several advantages over the FEM based on the weighted residual procedure (including the Galerkin FEM).<sup>18</sup> First, the former can be directly coupled with the modern mathematical programming method. Second, functional variation with variable domain is a unique, powerful means for solving all problems with unknown boundaries, such as free surface flow, flow with cavities, shocks, free vortex sheets, and inverse and hybrid problems.<sup>11,15</sup>

For  $S_2$  flow the FEM was applied to the semi-inverse problem for the first time by Adler and Krimerman,<sup>8</sup> using an approximate VP for the Poisson equation; they claimed that an exact VP for  $S<sub>2</sub>$  flow could not be obtained. Subsequently, Oates *et al. 7* developed an exact VP for the semi-inverse problem based on a crude actuator-disk model, in which the flow fields in both stators and rotors disappear, so it is of limited use for practical blading design. In Ref. 9, Hirsch and Warzee solved the semi-inverse and direct problems of  $S_2$  flow by the Galerkin FEM, using circumferentially averaged flow equations.

At first, the hybrid problem of cascades on an arbitrary stream sheet of revolution and the corresponding VPs were suggested by  $Liu<sup>5</sup>$  as a unification and generalization of the traditional direct and inverse problems to meet various requirements of modern turbomachinery development Recently it has been extended to fully 3D rotor flow as well.<sup>11,20</sup> It is natural to handle the  $S_2$  flow problem similarly. Thus, Liu<sup>3</sup> and Cai<sup>4</sup> developed VP families for  $S_2$  flow in axial and radial flow turbomachines, respectively, dealing with the following three aerodynamic problems of  $S_2$  flow:

- (a) The semi-inverse problem: Given the hub and casing shapes and the distribution of  $V_{\varphi}r$  along the  $S_2$  stream sheet, find the flow field and the shape of the  $S_2$  stream sheet.
- (b) The hybrid problem of type "A" (abbreviated as  $H_A$ problem): Given the distribution of  $V_{\varphi}r$  along  $S_2$ , some part of the hub and casing shapes, and the pressure distribution along the remaining part, find the shape of  $S_2$ , the hub and casing shapes, and the flow field.
- The complete inverse problem: This is a special case of the  $H_A$  problem, when both the hub and casing shapes are unknown but a pressure distribution along them is specified instead.

This paper is a continuation and generalization of Refs. 3 and 4 to mixed flow turbomachinery. Families of variational principles for these problems are derived herein. For this purpose, the momentum equation in an arbitrary quasiorthogonal direction,  $y$ , is used as the principal equation.<sup>6</sup> In the VPs for the semi-inverse problem, the distributed gas injection and/or suction along the hub and casing walls can be accounted for for the boundary layer control, cooling, or surge-suppressing purposes. To circumvent the difficulties associated with the existence of some unknown boundary, the VPs for the hybrid problem are established on an image plane  $\xi\psi$  defined by Equation 6. In contrast, in a companion paper<sup>15</sup> the hybrid problem is handled via functional variations with variable domain directly on the physical plane.

VP families for the same problems of axial and radial flow turbomachines presented, respectively, in Refs. 3 and 4 can be obtained directly from the results of this paper as special cases. We take full advantage of natural boundary conditions and



Figure 1 Flow field and its image on an S<sub>2</sub> stream sheet in mixed flow turbomachines: (a) image plane; (b) physical plane

"artificial interfaces"<sup> $16$ </sup> in order to offer a sound theoretical basis for the finite element method or other direct variational methods.

# **Family of VPs for the semi-inverse problem**

# *Fundamental equations of aerodynamics*

For an  $S_2$  flow in mixed flow turbomachines containing simultaneously pure axial and pure radial flow domains, a

## **Nomenclature**



rotated rectangular  $(x, y)$  coordinate system, as shown in Figure 1, is used to avoid the indeterminacy of the soluton.<sup>10</sup> Then, from Refs. 1-4, we have the following aerodynamic equations:

Continuity equation :

$$
\frac{\partial \psi}{\partial x} = -Br\rho W_y, \qquad \frac{\partial \psi}{\partial y} = Br\rho W_x \tag{1}
$$

- $V, V_{\omega}$  $W. W<sub>1</sub>$ Absolute velocity and its azimuthal component, respectively Relative velocity and its meridional component,
- respectively Rectangular coordinate system on meridional
- *x,y*  plane (Figure 1)
- Angle between axes  $x$  and  $z$  $\alpha$
- *F*   $V_{\varphi}r$ Curvilinear coordinate along meridional streamline
- $\Phi$ Dissipation function
- $\varphi_0$  $\varphi$ -distribution  $\varphi = \varphi_0(y)$  or  $\varphi = \varphi_0(\psi)$  specified along a selected initial line  $x = x_0(y)$  or  $\xi = \xi_0(\psi)$
- Angle between the integral path and the  $\xi$ -axis  $\mathbf{v}$
- $\psi$ Stream function
- *O)*  Angular speed of the rotor
- **Density**
- *P ?/a*  Partial derivatives along  $S_2$  surface<sup>1</sup>

*Subscripts* 

- 0 Reference state pr Prescribed
- 1 Meridional streamwise component
- t Tangential component
- $x, y$  Components along  $x$  and  $y$  coordinates
- +, Values on the two sides of the integration path

Y-momentum equation:

$$
\frac{\partial W_{\mathbf{y}}}{\partial x} - \frac{\partial W_{\mathbf{x}}}{\partial y} = Br\rho \left( W_{\varphi} \frac{\partial W_{\varphi}}{\partial \psi} - \frac{\partial \hat{R}}{\partial \psi} + \frac{mp}{\rho} \frac{\partial \tilde{S}}{\partial \psi} \right) + \tilde{F}_{\mathbf{y}}
$$
(2)

Equation of state:

$$
p = C_0 \rho^k \exp(\Delta \tilde{S})
$$
 (3)

For an adiabatic flow, the energy equation is

$$
km p/\rho + (W^2 - u^2)/2 = \tilde{R}(\xi, \psi)
$$
\n(4)

Here  $\tilde{F}_v = (F_v + f_v)/W_x$  and is determined from  $\psi$  and  $\rho$  of the previous iteration.  $\mathcal{R}(\xi, \psi)$  is a given distribution of rothalpy, and the transformation

$$
\xi = x, \qquad \psi = \psi(x, y) \tag{5}
$$

is introduced. In addition, we specify the distributions of  $V_{\varphi}r$ , B, and S along  $S_2$ :  $V_a r = \Gamma(\xi, \psi)$ ,  $S = S(\xi, \psi)$ , and  $B = B(x, y)$ .

Note that henceforth, for brevity, all partial derivatives along S, are symbolized as conventional ones.

## *Boundary conditions*

Along some part of the external boundary (inlet, outlet, hub and casing walls)  $\partial A_1$ ,  $\psi = \psi_{\text{pr}}$  is given, but along the remaining part  $\partial A_2$ ,  $W_t = (W_t)_{\text{pr}}$  is specified.

The composition of  $\partial A_1$  and  $\partial A_2$  may be quite different from case to case, depending on the design requirements under consideration. Three typical options are listed in Table 1.

All physical parameters are required to be continuous at internal interfaces  $C_i$  and artificial interfaces  $\partial A_3$ .<sup>16</sup>

#### *Variational principles*

By using the inverse-derivation method and the constraintremoving transformation<sup>2,12</sup>, we can derive variational

Table 1 Typical compositions of the boundary

	Option I	Option II	Option III
дA <sub>1</sub>	$h + t^*$	$h+t+C_{\rm e}$	$h+t+C_{\rm e}+C_{\rm a}$
$\partial A_2$	$C_{\rm e}$ + $C_{\rm a}$	$C_{a}$	

\* For symbols see Nomenclature.

#### Table 2 VPs for the semi-inverse problem

principles for the semi-inverse problem of an  $S<sub>2</sub>$  stream sheet in mixed flow turbomachines, as shown in Table 2. Here SGVP and GVP denote a subgeneralized VP and a generalized VP, respectively. In all VPs in Table 2 all boundary conditions have been converted to natural ones. This will greatly facilitate the practical numerical handling of the quite complicated boundary conditions under consideration.

# Family of VPs for the H<sub>A</sub> problem

# *Y-momentum equation and continuity equation on an image plane*

Assuming that the inversion of the transformation, Equation 5 exists, we have

$$
x = \xi, \qquad y = y(\xi, \psi) \tag{6}
$$

Then the original domain with partly unknown boundary is transformed into one with fully known boundary. In fact, it becomes a trapezoid domain if there is no injection and/or suction along the hub and casing walls. The Y-momentum equation and continuity equations on the image plane ( $\xi$ ,  $\psi$ ) can be written as follows:

$$
Br\rho W_x \frac{\partial y}{\partial \psi} = 1, \qquad \frac{\partial y}{\partial \xi} = Br\rho W_y \frac{\partial y}{\partial \psi}
$$
(7)

$$
\frac{\partial W_y}{\partial \xi} - Br\rho W_t \frac{\partial W_t}{\partial \psi} = Br\rho \left\{ \left( \frac{\Gamma}{r^2} - \omega \right) \frac{\partial \Gamma}{\partial \psi} - \frac{\partial \hat{R}}{\partial \psi} + \frac{mp}{\rho} \frac{\partial S}{\partial \psi} \right\} + \tilde{F}_y \quad (8)
$$

Equations 3 and 4 remain valid here.

#### *Boundary conditions*

Let  $\partial \overline{A}_1$  denote a part of the external boundary, on which  $P=(P)_{\text{pr}}$  is given, and  $\partial \overline{A}_2$  the remaining part, on which  $Y=(Y)_{\text{pr}}$  is given.  $W_y=(W_y)_{\text{pr}}$  must be given at the inlet and outlet boundaries.

Let  $\bar{C}_i$  denote the internal interfaces, and  $\partial \bar{A}_3$  the artificial interfaces, on which all physical parameters should be continuous.

#### *Variational principles*

A family of VPs for the H<sub>A</sub> problem on the image plane,  $\xi$ - $\psi$ , which is shown in Figure 1(b), can be derived from the foregoing



#### Table 3 VPs for the H<sub>A</sub> problem



 $J_1-J_3$  by a transformation of inversion.<sup>2</sup> This family is shown in Table 3 where  $B(x, y)$ ,  $\Gamma(\xi, \psi)$ ,  $\mathbf{R}(\xi, \psi)$ ,  $S(\xi, \psi)$ , and  $\mathbf{F}_y(\xi, \psi)$  are assumed known and  $W_{\varphi} = \Gamma/r - \omega r$ .

Again, in Table 3 all boundary conditions have been converted into natural ones.

## **Two families of nonclassical VPs**  for the H<sub>A</sub> problem

#### *Restricted VPs*

Restricted VPs can be generated by adding

$$
\int_{(\tilde{A})} \tilde{p} \left( yr - y^2 \cos \frac{\alpha}{2} \right) \frac{\partial B}{\partial \psi} d\psi d\xi
$$

to each of the functionals  $J_4-J_6$ , where  $\dot{p}$  meas that this pressure  $\hat{p}$  must be held fixed when the variations of  $J_4-J_6$  are being made and  $\hat{p}$  is set to  $p$  immediately after variation.<sup>18</sup> In being made and  $\hat{p}$  is set to p immediately after variation. this family of VPs,  $B = B(\xi, \psi)$  is specified.

#### *VPs with iterative terms*

VPs with iterative terms can be obtained by adding

$$
\int_{(\bar{A})} p^{(n-1)} \left( yr - y^2 \cos \frac{\alpha}{2} \right) \frac{\partial B}{\partial \psi} d\psi d\xi
$$

to each of the functionals  $J_4-J_6$ , where  $p^{(n-1)}$  denotes p of the previous iteration;  $B = B(\xi, \psi)$  is also a known function for this family.

## **Unification of VP families for axial, radial, and mixed flow turbomachines**

Families of VPs for the same problems of axial and radial flow turbomachines derived in previous papers $3,4$  can be regarded as special cases of the present results. Let  $y = r$ ,  $x = z$  (i.e.,  $\alpha = 0$ ), and  $y = z$ ,  $x = -r$  (i.e.,  $\alpha = -\frac{\pi}{2}$ ), in  $J_1 - J_6$ . Then the functionals of Refs. 3 and 4 can be derived.

# **Determination of viscous terms**

To determine viscous terms, an approximate loss model has been suggested in Refs. 3 and 4, starting from the first law of thermodynamics,<sup>2,15</sup>

$$
\frac{\mathbf{D}\mathbf{R}}{\mathbf{D}t} = \frac{\mathbf{D}q'}{\mathbf{D}t} + \frac{\mathbf{\Phi}}{\rho} + \mathbf{f} \cdot \mathbf{W}
$$
 (9a)

$$
\frac{Dq'}{Dt} = \frac{DU}{Dt} + p(d\rho^{-1}) - \frac{\Phi}{\rho}
$$
 (9b)

and the Gibbs identity,

$$
\frac{p}{R\rho} \frac{\text{DS}}{\text{Dt}} = \frac{\text{DU}}{\text{Dt}} + p d\rho^{-1}
$$
 (10)

Combining Equations 9 and 10 gives

$$
\frac{p}{R\rho} \frac{\text{DS}}{\text{Dt}} = \frac{\text{D}q'}{\text{Dt}} + \frac{\Phi}{\rho}
$$
\n(11)

$$
\frac{D\overset{\circ}{R}}{Dt} = \frac{Dq'}{Dt} + \frac{\Phi}{\rho} + \mathbf{f} \cdot \mathbf{W} = \frac{p}{R\rho} \frac{DS}{Dt} + \mathbf{f} \cdot \mathbf{W}
$$
(12)

Consider adiabatic flow. We know from boundary layer theory that for adiabatic flow with  $Pr=1$  the stagnation enthalpy  $H$  of steady absolute flow is uniform, including, of course,  $DH/Dt = 0.2$  For adiabatic steady relative flow with  $Pr = 1$ , however, the rothalpy  $\hat{R}$  is, strictly speaking, generally not uniform. Nevertheless,  $D\mathring{R}/Dt = 0$  can be assumed approximately to hold. This assumption is sufficiently accurate for engineering calculations, at least for  $S_2$  flows not deviating significantly from axial flow. Thus, from Equations 11 and 12 we get

$$
\frac{p}{R\rho} \left(\frac{\mathbf{D}S}{\mathbf{D}t}\right)_{\mathbf{ad}} = \frac{\Phi}{\rho} = -\mathbf{f} \cdot \mathbf{W} \tag{13}
$$

where  $(DS/Dt)_{ad}$  for adiabatic flow can be evaluated using empirical loss coefficients.

Moreover, if we assume, similar to  $1D$  flow<sup>2</sup>, that  $f$  is parallel but opposite to W, we obtain from Equation 16

$$
f = \frac{\Phi}{\rho |W|}
$$



*Figure 2*  Meridional plane of a compressor

and

$$
f_i = \frac{-fW_i}{|W|} = \frac{-\Phi}{\rho W^2} W_i \qquad (i = x, \varphi, y)
$$
 (14)

Other approximate loss models (e.g., those suggested by Bosman and Marsh<sup>13</sup> and Horlock<sup>14</sup>) can also be incorporated for determining viscous terms in the VPs.

# **Determination of**  $\tilde{F}_v$  **and the**  $S_2$  **stream surface shape**

In all VPs presented above  $\tilde{F}_v$  is assumed to be known but should be updated after every converged  $\psi$  (or y)-solution has been obtained. This updating can be accomplished in two ways. The one given in Ref. 1 does not have sufficient numerical accuracy due to multiple numerical differentiations involved, so a new method is suggested as follows:

Because  $V_{\varphi}r=\Gamma(\xi,\psi)$  is known, the circumferential component of the momentum equation for  $S_2$  yields<sup>2,3,4</sup>

$$
F_{\varphi} + f_{\varphi} = \frac{1}{r} \frac{D\Gamma}{Dt} = \frac{W_x}{r} \frac{\partial \Gamma}{\partial x}
$$
 (15)

If the equation of the S<sub>2</sub> stream sheet is  $\varphi = \varphi(x, y)$ , then, since F is orthogonal to  $S_2$ , we can write<sup>2</sup>

$$
\frac{F_y}{F_{\varphi}r} = -\frac{\overline{\partial}\varphi}{\partial y}
$$

which, after inserting Equation 15, gives

$$
\tilde{F}_y = \frac{f_y}{W_x} + \left(\frac{f_\varphi r}{W_x} - \frac{\overline{\partial}\Gamma}{\partial x}\right)\frac{\overline{\partial}\varphi}{\partial y}
$$
(16)

Obviously, we have

 $\partial \varphi$   $W_{\varphi}$   $\Gamma/r^2$  - $\partial l$  **rW**<sub>i</sub> **W**<sub>i</sub>

Integrating it aong the streamline, we obtain

$$
\varphi(\xi, \psi) = \varphi_0 + \int_{\xi_0}^{\xi} \left( \frac{\Gamma}{r^2} - \omega \right) \frac{d\xi}{W_x}
$$
\n(17)

We obtain the distribution of  $\varphi$  along the streamline. Then,  $\overline{\partial}\varphi/\partial\psi$  can be calculated simply by numerical differentiation. Using

$$
\frac{\partial \varphi}{\partial y} = \frac{\partial \varphi}{\partial \psi} \frac{\partial \psi}{\partial y} = Br \rho W_x \frac{\partial \varphi}{\partial \psi}
$$
 (18)

we get  $\bar{\partial}\varphi/\partial y$ . Consequently,  $\tilde{F}_v$  can be updated from Equation 16.

In this method of updating  $\tilde{F}_v$  the computation of  $F_{\varphi}$  and  $F_z$  is not required at all. Moreover, because direct use of the  $S_2$ 

stream surface equation  $\varphi = \varphi(x, y)$  (or  $\varphi = \varphi(\xi, \psi)$ ) has been made, the integrability condition<sup>1</sup>

$$
\mathbf{F} \cdot \nabla \times \mathbf{F} = 0 \tag{19}
$$

need not be employed explicitly.

#### **Computational example by FEM**

Finally, a computational example of the semi-inverse problem of the  $S_2$  stream sheet of a two-stage, axial flow air compressor, as shown in Figure 2, is given. The rotational speed is 6540 r/min. The inlet total parameters are uniform. The distribution of entropy in the flow field is determined by guessing the isentropic efficiency in the rotor region and the total pressure recovery coefficient in the remaining regions. The distribution of the stream function along the hub and tip is given and handled as essential boundary conditions. At the inlet and outlet we have the natural boundary condition  $W_t = 0$ . The flow field is divided into  $3 \times 24$  or  $5 \times 24$  eight-node isoparametric elements. The nonlinear algebraic equation system obtained from  $\delta J_1$  is linearized by the distribution of  $\psi$  and  $\rho$  of the previous iteration.

A coupled  $\psi$ - $\rho$  iteration method is used to solve the semiinverse problem of an  $\mathbf{S}_2$  stream sheet. That is, the iterations of  $\rho$ alternate with the iterations of  $\psi$ . A first guess at the distribution of  $\psi$  is made according to uniform flow in every cross section of the channel. The solution of  $\rho$  at every node point is reduced to finding the null point of a function  $f(\rho)$ :

$$
f(\rho) = A_1 \rho^{k-1} + A_2/\rho^2 + A_3 \tag{20}
$$

where

$$
A_1 = C_0 km \exp(\Delta S)
$$
  
\n
$$
A_2 = \frac{1}{2} \left(\frac{1}{Br}\right)^2 \left[\left(\frac{\partial \psi}{\partial r}\right)^2 + \left(\frac{\partial \psi}{\partial z}\right)^2\right]
$$
  
\n
$$
A_3 = \frac{1}{2} \left(\frac{\Gamma}{r}\right)^2 - \omega \Gamma - \mathring{R}
$$

An initial guess at the distribution of  $\rho$  can be made by taking  $\rho^{(0)} = -(A_3/A_1)^m$ . At some node points no null points of  $f(\rho)$  are found, because an unsuitable guess at the initial stage of iteration has been made. Then we developed the discriminant

$$
A_2^{k-1/k+1} \le (k-1)^{(k-1)/(k+1)} \left(\frac{2}{A_1}\right)^{2/(k+1)} \left(\frac{-A_3}{k+1}\right) \tag{21}
$$

Null points of  $f(\rho)$  cannot be found unless Equation 10 can be satisfied at that node point.

The present treatment is validated by the calculated results. The calculated axial velocity profiles at outlets of the blade domains are shown in Figure 3, and the calculated distribution of static pressure along the hub and tip casings is given in Figure 4. Figure 5 illustrates the convergence behavior of iterations for  $\psi$ . It seems that the convergence of the present treatment is satisfactory.

Further computations of examples of the  $H_A$  problem are now in progress and will be reported in a later paper. Noting, however, that the variational finite element solutions to the hybrid problems  $H_A$  and  $H_B$  of blade-to-blade flow have been given, $1<sup>9</sup>$  we can expect by similarity that good numerical results could also be obtained for the  $H_A$  problem of  $S_2$  flow by FEM based on VPs derived herein.



*Figure 3* Axial velocity profiles at outlets of blade domains -2.0 pm



*Figure 4*  Distribution of static pressure along hub and tip casings

## **Conclusions**

Families of VPs for the semi-inverse,  $H_A$  hybrid and complete inverse problems of an  $S_2$  stream sheet in mixed flow turbomachines are developed unifying the results of previous papers. 3'4 Thus, a more perfect theoretical basis is provided for constructing a universal computer program for FEM or other direct variational methods. Certainly, its utilization will bring great convenience into practical calculations. From the computational example, it is seen that the application of FEM based on VPs derived here to the problems of an  $S<sub>2</sub>$  stream sheet is reliable. The theory developed here allows all or part of the inner and outer annular walls to be profiled rationally by specifying favorable pressure distributions, providing broader and versatile ways for blading design.

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*Figure*  5 Convergence of iteration process

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